

SECTION CONTENTS

- Bureau Summary of Appropriations and Positions
- Bureau Distribution By Appropriation Classification
- Department Overview
- Department Budget
 - Distribution By Appropriation Classification
 - Personal Services, Summary of Positions
 - Summary of Positions by Grade

BUREAU SUMMARY
OFFICE OF THE INDEPENDENT INSPECTOR GENERAL

SUMMARY OF APPROPRIATIONS

Department and Title	Expenditures Year-to-date	2011 Adjusted Appropriation	Department Request	President's Recommendation	Difference
Corporate Fund					
080 - Office of the Independent Inspector General	879,156.02	1,534,540	1,724,802	1,724,802	190,262
Corporate Fund Total	879,156.02	1,534,540	1,724,802	1,724,802	190,262
General Fund Total	879,156.02	1,534,540	1,724,802	1,724,802	190,262
Total Appropriations	879,156.02	1,534,540	1,724,802	1,724,802	190,262

SUMMARY OF POSITIONS

Department and Title	2011 Approved Positions	Department Request	President's Recommendation	Difference
Corporate Fund				
080 - Office of the Independent Inspector General	17.9	20.6	20.6	2.7
Corporate Fund Total	17.9	20.6	20.6	2.7
General Fund Total	17.9	20.6	20.6	2.7
Total Positions	17.9	20.6	20.6	2.7

DISTRIBUTION BY APPROPRIATION CLASSIFICATION
OFFICE OF THE INDEPENDENT INSPECTOR GENERAL

Account	2011 Expend. Year-to-date	2011 Adjusted Appropriation	Department Request	President's Recommendation	Difference
Personal Services					
110/501010 Salaries and Wages of Regular Employees	849,884.48	1,445,901	1,687,572	1,687,572	241,671
170/501510 Mandatory Medicare Costs	710.58				
185/501810 Professional and Technical Membership Fees	190.00	660	1,080	1,080	420
186/501860 Training Programs for Staff Personnel	3,749.00	10,000	12,000	12,000	2,000
190/501970 Transportation and Other Travel Expenses for Employees	72.40	2,000	1,000	1,000	(1,000)
Personal Services Total	854,606.46	1,458,561	1,701,652	1,701,652	243,091
Contractual Services					
220/520150 Communication Services			1,230	1,230	1,230
225/520260 Postage	195.42	579	600	600	21
240/520490 External Graphics and Reproduction Services	592.05	3,820	2,000	2,000	(1,820)
260/520830 Professional and Managerial Services	9,124.44	28,891	15,000	15,000	(13,891)
Contractual Services Total	9,911.91	33,290	18,830	18,830	(14,460)
Supplies and Materials					
350/530600 Office Supplies	9,624.18	14,892	15,000	15,000	108
353/530640 Books, Periodicals, Publications, Archives and Data Services	1,432.79	4,329	1,000	1,000	(3,329)
353/530675 County Wide Lexis-Nexis Contract			4,500	4,500	4,500
388/531650 Computer Operation Supplies		285	300	300	15
Supplies and Materials Total	11,056.97	19,506	20,800	20,800	1,294
Operations and Maintenance					
440/540130 Maintenance and Repair of Office Equipment	600.00	695	700	700	5
441/540172 County Wide Contract for Maintenance of Data Processing Equipment			550	550	550
444/540250 Maintenance and Repair of Automotive Equipment	198.86	2,383	2,500	2,500	117
445/540290 Operation of Automotive Equipment	954.87	3,354	3,500	3,500	146
Operations and Maintenance Total	1,753.73	6,432	7,250	7,250	818
Rental and Leasing					
630/550010 Rental of Office Equipment	1,051.00	1,751			(1,751)
630/550018 County Wide Canon Photocopier Lease			1,270	1,270	1,270
660/550130 Rental of Facilities	775.95	15,000	15,000	15,000	
Rental and Leasing Total	1,826.95	16,751	16,270	16,270	(481)
Contingency and Special Purposes					
819/580420 Appropriation Transfer for Corporate Fund/Reimbursement from Designated Fund			(40,000)	(40,000)	(40,000)
Contingency and Special Purposes Total			(40,000)	(40,000)	(40,000)
Operating Funds Total	879,156.02	1,534,540	1,724,802	1,724,802	190,262
(717) New/Replacement Capital Equipment					
530/560510 Office Furnishings and Equipment	24,828.78	4,599			(4,599)
549/560610 Vehicle Purchase	100,362.94				
570/560440 Telecommunications Equipment		595			(595)
579/560450 Computer Equipment	14,030.98	7,295			(7,295)
	139,222.70	12,489			(12,489)
Total Capital Equipment Request Total	139,222.70	12,489			(12,489)

DEPARTMENT OVERVIEW

080 OFFICE OF THE INDEPENDENT INSPECTOR GENERAL

Mission

Detect, deter and prevent corruption, fraud, waste, mismanagement, unlawful political discrimination and misconduct in the operation of Cook County government with integrity, independence, professionalism and respect for both the rule of law and the people we serve.

Mandates and Key Activities

- Meeting the goals set forth in the OIG enabling ordinance and the Supplemental Relief Order entered in the matter of Michael Shakman, et al. v. Cook County, et al. (N.D. III).
- Review and Consider 400 plus complaints for possible investigative action and initiate audits and surveys to determine the efficiency and effectiveness of Cook County government agencies and departments.
- Provide training to Cook County employees and the community on the function and mission of the OIG.

Discussion of 2011 Activities and 2012 Initiatives

In 2011, the OIG hired three investigators and is in the process of hiring four additional investigators. It is anticipated that the four new investigators will enter service near the end of FY 2011.

As of September, 2011, the OIG has considered 322 complaints for investigative action and conducted ten audits and surveys of departments and agencies of Cook County government. Joint investigations with federal and state agencies are included in the number of investigations the OIG has initiated, which as of September 2011 totals 46.

The OIG is conducting joint investigations with the Complaint and Compliance Administrators' Offices as a prelude to the OIG assuming these investigative duties when Cook County government is found to be within "substantial compliance" of the Supplemental Relief Order entered in the matter of Michael Shakman, et al. v. Cook County, et al. (N.D. III).

In 2012, the OIG will continue to dedicate its resources toward identifying misconduct and responding to complaints of corruption and abuse within Cook County government, whether it involve employees of Cook County or related agencies or entities seeking to and/or conducting business with Cook County government.

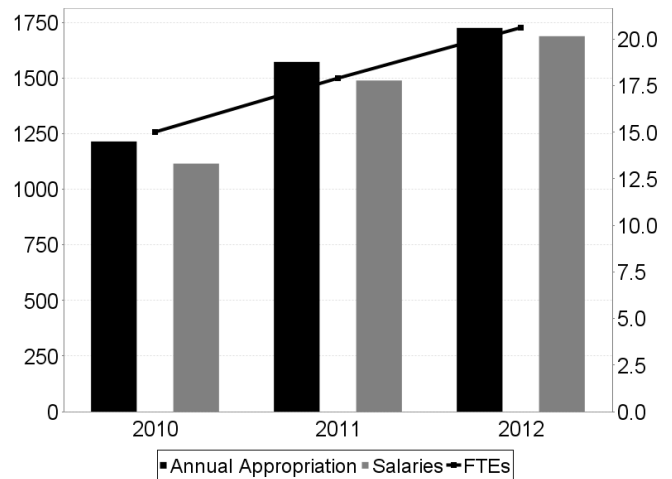
In 2012, the Forest Preserve District of Cook County (FPD) adopted an ordinance bringing the District under the jurisdiction of the OIG. An Intergovernmental Agreement was also executed that requires reimbursement to Cook County for services provided to the District by the OIG.

In 2012, the OIG will maintain its focus on supporting the Cook County Health and Hospital System. This will be supported by maintaining a routine presence at Stroger Hospital and working closely with the CCHHS Compliance Officer.

The OIG will increase investigative staff in furtherance of the goals set forth in the Supplemental Relief Order entered in the Michael Shakman v. Cook County, et al. (N.D. III) litigation. These investigators will be solely focused on compliance monitoring, enforcement and unlawful political discrimination. This additional

staffing and investigative efforts are in support of the anticipated transition of responsibilities of the Compliance and Complaint Administrators' Offices to the OIG.

Appropriations (\$ thousands)			
Fund Category	2010 Adopted	2011 Adopted	2012 Recommended
General	1,214.5	1,572.0	1,724.8
Total	1,214.5	1,572.0	1,724.8
Adopted Adopted Recommended			
FTE Positions	15.0	17.9	20.6



S.T.A.R. Goals/Key Performance Indicators

- In 2010, the OIG received and reviewed in excess of 400 complaints. Of this total, many were opened as cases and full investigations were initiated. As many of these complaints were nebulous in nature, containing general allegations and little or no supporting documentation, the investigations opened were eventually closed. However, in the process of conducting a full investigation on each complaint, the investigators expended considerable time and effort and, under the provisions of the OIG Ordinance, were required to generate an Investigative Summary letter setting forth the results of an investigation that revealed benign information. As many of these cases became an academic exercise and an inefficient use of an investigators' time, a new process was initiated to quickly identify significant cases and designate them for full investigations. Beginning in the last quarter of 2010, the OIG began opening all complaints as Inquiries in order to efficiently determine the viability of the complaint by ascertaining the existence of corroborating evidence. This change has resulted in a substantial increase in the number of cases processed because cases are being addressed rather than being placed in an "open" status waiting for an investigator to conduct a full investigation. Currently investigators conduct an initial review, interviewing the complainant and possibly other individuals and review pertinent documents, with the objective of developing enough information to determine whether the matter should be opened as a full investigation or closed due to the lack of evidence. As this

DEPARTMENT OVERVIEW

080 OFFICE OF THE INDEPENDENT INSPECTOR GENERAL

process has not yet been in place for a year, it is too early to quantify it with numbers, however, the routine case reviews with investigators reveal increased productivity and a more effective and efficient use of resources. In future years, the OIIG will look to an exponential increase in the number of Inquiries opened and investigated.

- The number of complaints received by the OIIG has increased exponentially since 2008, due to the increased efforts of the OIIG in outreach to the community (Cook County employees and vendors) and training sessions. In 2008, the OIIG received 178 complaints. In 2009, the number received was 349 complaints. In 2010, this number increased to 418. As of September 2011, the number of complaints received is 322, which is a pace that will exceed last year's total. The OIIG will continue to educate the community as to its mission and functions and increase its training. It is anticipated that the direct result of these actions will be an increased number of complaints and/or contacts with the OIIG. Employees and citizens educated as to the function of the OIIG will better serve the ideals of efficient and effective government.
- The OIIG has made a conscientious effort to promote its function and mission in order to develop awareness in the community of its role in effecting "good government." This outreach to employees and citizens, in the form of training sessions, meetings with department and agency heads, orientation programs and speeches, has increased the community's awareness and involvement in ensuring an efficient and effective Cook County government. This awareness is directly correlated to the increase in the number of complaints filed with OIIG. The OIIG will continue this outreach effort by increasing the number of training sessions and meetings to foster an awareness of the role of the OIIG and promoting honesty and efficiency in government. In 2010, the OIIG conducted 26 such meetings and presentations.

Programs

Training

The OIIG provides training to County employees regarding the functions and mission of the OIIG, focusing on unlawful political discrimination and employees' duties and responsibilities under the Independent Inspector General's Ordinance. This training, which is provided to employees, supervisory officials, as well as elected officials, establishes the foundation for efficiency, effectiveness and honesty in Cook County government.

Audits/Surveys

The OIIG conducts audits/surveys on selected departments and agencies to ensure efficiency and effectiveness. These audits/surveys serve to identify deficiencies and effect remedial action, which may be in the form of recommendations for institutional changes. The results of these audits/surveys may be reported in public statements, which highlight the identified concerns for those in leadership positions in support their efforts to make government more efficient and effective.

DISTRIBUTION BY APPROPRIATION CLASSIFICATION
DEPARTMENT 080 - OFFICE OF THE INDEPENDENT INSPECTOR GENERAL

Account	2011 Expend. Year-to-date	2011 Adjusted Appropriation	Department Request	President's Recommendation	Difference
Personal Services					
110/501010 Salaries and Wages of Regular Employees	849,884.48	1,445,901	1,687,572	1,687,572	241,671
170/501510 Mandatory Medicare Costs	710.58				
185/501810 Professional and Technical Membership Fees	190.00	660	1,080	1,080	420
186/501860 Training Programs for Staff Personnel	3,749.00	10,000	12,000	12,000	2,000
190/501970 Transportation and Other Travel Expenses for Employees	72.40	2,000	1,000	1,000	(1,000)
Personal Services Total	854,606.46	1,458,561	1,701,652	1,701,652	243,091
Contractual Services					
220/520150 Communication Services			1,230	1,230	1,230
225/520260 Postage	195.42	579	600	600	21
240/520490 External Graphics and Reproduction Services	592.05	3,820	2,000	2,000	(1,820)
260/520830 Professional and Managerial Services	9,124.44	28,891	15,000	15,000	(13,891)
Contractual Services Total	9,911.91	33,290	18,830	18,830	(14,460)
Supplies and Materials					
350/530600 Office Supplies	9,624.18	14,892	15,000	15,000	108
353/530640 Books, Periodicals, Publications, Archives and Data Services	1,432.79	4,329	1,000	1,000	(3,329)
353/530675 County Wide Lexis-Nexis Contract			4,500	4,500	4,500
388/531650 Computer Operation Supplies		285	300	300	15
Supplies and Materials Total	11,056.97	19,506	20,800	20,800	1,294
Operations and Maintenance					
440/540130 Maintenance and Repair of Office Equipment	600.00	695	700	700	5
441/540172 County Wide Contract for Maintenance of Data Processing Equipment			550	550	550
444/540250 Maintenance and Repair of Automotive Equipment	198.86	2,383	2,500	2,500	117
445/540290 Operation of Automotive Equipment	954.87	3,354	3,500	3,500	146
Operations and Maintenance Total	1,753.73	6,432	7,250	7,250	818
Rental and Leasing					
630/550010 Rental of Office Equipment	1,051.00	1,751			(1,751)
630/550018 County Wide Canon Photocopier Lease			1,270	1,270	1,270
660/550130 Rental of Facilities	775.95	15,000	15,000	15,000	
Rental and Leasing Total	1,826.95	16,751	16,270	16,270	(481)
Contingency and Special Purposes					
819/580420 Appropriation Transfer for Corporate Fund/Reimbursement from Designated Fund			(40,000)	(40,000)	(40,000)
Contingency and Special Purposes Total			(40,000)	(40,000)	(40,000)
Operating Funds Total	879,156.02	1,534,540	1,724,802	1,724,802	190,262
(717) New/Replacement Capital Equipment - 71700080					
530/560510 Office Furnishings and Equipment	24,828.78	4,599			(4,599)
549/560610 Vehicle Purchase	100,362.94				
570/560440 Telecommunications Equipment		595			(595)
579/560450 Computer Equipment	14,030.98	7,295			(7,295)
	139,222.70	12,489			(12,489)
Total Capital Equipment Request Total	139,222.70	12,489			(12,489)

PERSONAL SERVICES - SUMMARY OF POSITIONS BY BUSINESS UNIT AND JOB CODE
DEPARTMENT 080 - OFFICE OF THE INDEPENDENT INSPECTOR GENERAL

Job Code	Title	Grade	2011 Current		Department Request		President's Recommendation	
			FTE Pos.	Salaries	FTE Pos.	Salaries	FTE Pos.	Salaries
01 Supervisory and Clerical								
01 Supervisory and Clerical - 0801224								
0062	Inspector General	24	1.0	150,000	1.0	150,000	1.0	150,000
5203	Deputy Inspector General	24	2.0	210,000	2.0	210,000	2.0	210,000
5566	General Counsel-Office of the Independent Inspector General	24	0.7	75,196	1.0	100,260	1.0	100,260
0048	Administrative Assistant III	16	2.0	97,097	2.0	96,893	2.0	96,893
			5.7	\$532,293	6.0	\$557,153	6.0	\$557,153
02 Investigations								
01 Investigations - 0801225								
0642	Investigator V	22	4.1	359,507	5.6	480,285	5.6	480,285
0149	Investigator IV (Accountant)	21	3.1	264,281	1.0	81,595	1.0	81,595
5575	Investigator IV-Office of the Independent Inspector General	21			4.0	323,648	4.0	323,648
0150	Investigator III (Accountant)	20	4.0	284,103	4.0	286,870	4.0	286,870
0639	Investigator II	16	1.0	48,281				
			12.2	\$956,172	14.6	\$1,172,398	14.6	\$1,172,398
Total Salaries and Positions			17.9	\$1,488,465	20.6	\$1,729,551	20.6	\$1,729,551
Turnover Adjustment						(41,979)		(41,979)
Operating Funds Total			17.9	\$1,488,465	20.6	\$1,687,572	20.6	\$1,687,572

PERSONAL SERVICES - SUMMARY OF POSITIONS BY GRADE
DEPARTMENT 080 - OFFICE OF THE INDEPENDENT INSPECTOR GENERAL

Grade	2011 Current		Department Request		President's Recommendation	
	FTE Pos.	Salaries	FTE Pos.	Salaries	FTE Pos.	Salaries
24	3.7	435,196	4.0	460,260	4.0	460,260
22	4.1	359,507	5.6	480,285	5.6	480,285
21	3.1	264,281	5.0	405,243	5.0	405,243
20	4.0	284,103	4.0	286,870	4.0	286,870
16	3.0	145,378	2.0	96,893	2.0	96,893
Total Salaries and Positions	17.9	\$1,488,465	20.6	\$1,729,551	20.6	\$1,729,551
Turnover Adjustment				(41,979)		(41,979)
Operating Funds Total	17.9	\$1,488,465	20.6	\$1,687,572	20.6	\$1,687,572

